2012 Outside Activities Disclosure Form

NAME:
TITLE:

I have read the Washington University Conflict of Interest Policy, and I also acknowledge the full-time nature of my responsibilities to the University. I am filing this disclosure form in accordance with the Policy and to confirm my continuing availability to meet the full range of my full-time obligations to the University.

1. Outside Activities
   A. Do you serve as an officer, director, trustee, partner (general or limited), employee, consultant, or regularly retained agent of any Organization other than the University?
      YES ☐ NO ☐
   B. If the answer to A is “YES,” or if any member of your Family could answer “YES” to A, have the Organization and the University had any Business Dealings within the past five (5) years, or is there any reasonable possibility that they will have such dealings in the next five (5) years?
      YES ☐ NO ☐
   C. If “YES” to either A or B, please provide the following information below or on a separate sheet.

<table>
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<tr>
<th>Organization</th>
<th>Position</th>
<th>Number of Days per Year</th>
<th>Person Involved and Relationship to You</th>
<th>Nature of Organization’s Business</th>
<th>Amount and Nature of Business with University</th>
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1 “Organization” includes any law firm, corporation, partnership, limited liability company, proprietorship, association, trust, college, university, government agency or other for-profit or not-for-profit entity.
2 “University” includes Washington University and affiliated entities that are 50% or more owned or controlled by Washington University.
3 “Family” includes spouse, domestic partner, parent, grandparent, sibling (including half-brother or half-sister), child, grandchild, great-grandchild, and spouse of a child, parent, sibling, grandparent, grandchild, or great-grandchild.
4 “Business dealings” include, but are not limited to (i) the purchase, sale, exchange or leasing of property; (ii) the borrowing, lending or investment of money or securities or obtaining or extending credit; (iii) the furnishing of goods, services (including, without limitation, consulting services or legal, accounting or other professional services) or facilities; (iv) the payment of compensation; (v) the sponsorship or funding of University research; or (vi) the licensing or patenting of University-owned intellectual property.
5 “Five (5) years,” including the five-year look-back and look-forward periods, should be computed from the date of your certification on the next page.
2. Financial Interests

Do you or any member of your Family, individually or in the aggregate, have the actual or beneficial ownership of stock, equity, debt, or any other financial interest, other than an “Excluded Interest,” in any corporation, limited liability company, partnership, trust or estate, or other business entity that, to the best of your current knowledge, has had any Business Dealings with the University within the past five (5) years, or which you believe may have any such dealings within the next five (5) years?

YES ☐  NO ☐

If you answered “YES”, please provide the following information below or on a separate sheet:

<table>
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<tr>
<th>Individual with Financial Interest</th>
<th>Organization</th>
<th>Nature of Interest</th>
<th>Amount of Interest ($ amount and/or % ownership)</th>
<th>Amount and Nature of Organization's Business with the University</th>
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I certify that the foregoing information is true and complete to the best of my knowledge. I agree to update this form as necessary to maintain the accuracy of the information provided.

(Signature)  (Date)

Please return this form, in confidence, by **July 31, 2012** to:

Chancellor Mark S. Wrighton
Campus Box #1192

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*An “Excluded Interest,” i.e., one that need not be reported here, means the ownership of (a) shares of stock, or other equity interest, so long as the value is less than $10,000 and represents less than 5% ownership of the company; (b) shares of mutual funds; (c) an interest in a blind trust; or (d) any debt instruments of publicly-held companies.*